The sensitivity of possessor raising and applicativization to tense in Laki

Sahar Taghipour Gregory Stump University of Toronto Emeritus, U of Kentucky

Download these slides from https://english.as.uky.edu/gstump/recent-presentation-slides

Outline

- How the person and number of subjects and pronominal objects are coded
 - o in the present tense
 - o in the preterite
- The pattern of PN marking in a transitive clause depends on tense
- How the PN properties of pronominal possessors are coded
 - These reveal a pattern of possessor raising in the preterite
- How the PN properties of pronominal prepositional objects are coded
 - These reveal a pattern of applicativization in the preterite
- Discussion of typological and historical implications

Coding the person and number of subjects and pronominal objects

In the Laki language (Northwestern Iranian), the person and number of a finite verb form's subject and pronominal object arguments are, in general, expressed either as suffixes or as enclitics.

The precise manner in which these arguments' properties are realized varies according to the verb form's tense and valence.

Coding a subject's person and number in the present tense

In the present tense, a verb carries a person and number (PN) suffix to express the agreement properties of its subject:

Table A. Subject-coding PN suffixes in the present tense

	SG	PL
1	-(e)m	-(i)men
2	-(i)n	-(i)nān
3	-i	-(e)n

- 1. homa Ali=ya mown-inān.
 you.PL Ali=DEF.OBJ see.PRS-SBJ.2PL
 'You (pl) see Ali.'
- 2. mown-em=et. see.PRS-SBJ.1SG=OBJ.2SG 'I see you (sg).'

Coding a pronominal object's person and number in the present tense

In the present tense, a transitive verb may carry a PN clitic expressing the properties of a pronominal object:

Table B. Pronominal object-coding PN clitics in the present tense

	SG	PL
1	=(e)m	=mān
2	=(e)t	=tān
3	=i	=(ā)n

3. mown-em=et.
see.PRS-SBJ.1SG=OBJ.2SG
'I see you (sg).'

4. mar-em=ān. eat.PRS-SBJ.1SG=OBJ.3PL 'I eat them.'

Coding a pronominal object's person and number in the present tense

3. mown-em=et.
see.PRS-SBJ.1SG=OBJ.2SG
'I see you (sg).'

4. mar-em=ān. eat.PRS-SBJ.1SG=OBJ.3PL 'I eat them.'

In (3) and (4), the subject-agreement suffix and the pronominal object clitic are adjacent. But in the case of a compound verb, the two are separated: the subject-agreement suffix appears on the finite verb, and the pronominal object clitic on the compound's initial constituent:

5. mearefi=tān ma-ke-ymen.
introduction=OBJ.2PL HAB-do.PRS-SBJ.1PL
'We are introducing you.'

Coding a subject's person and number in the preterite (intransitive verbs)

In the preterite, an intransitive verb carries a PN suffix to express subject agreement:

Table C. Subject-coding PN suffixes in the preterite tenses (intransitive verbs)

	SG	PL
1	-(e)m	-(i)men
2	-(i)n	-(i)nān
3	_	-(e)n

[as in the present except in the 3sg]

- 6. hat-inān. come.PST-SBJ.2PL 'You (pl) came.'
- 7. Zia do aka **hat** arā māl ima. Zia last day come.pst to house our 'Zia came to our house yesterday.'

Coding a subject's person and number in the preterite (transitive verbs)

In the preterite, a transitive verb expresses subject agreement by means of a PN clitic:

Table D. Subject-coding PN clitics in the preterite tenses (transitive verbs)

	SG	PL	
1	=(e)m	=mān	[_ Table D]
2	=(e)t	=tān	[= Table B]
3	=i	=(ā)n	

This subject-coding clitic is hosted by the first argument constituent of VP, which may be the verb itself (as in (9)):

- 8. me ketew-a=m dā a det-al-a.

 I book-DEF=SBJ.1SG give.PST to girl-PL-DEF
 'I gave the book to the girls.'
- 9. wārd-en=mān. eat.PST-OBJ.3PL=SBJ.1PL 'We ate them.'

But: 3sg = i is uniformly enclitic to the verb.

8

Coding a pronominal object's person and number in the preterite

In the preterite, a transitive verb's pronominal object is expressed by a PN suffix on the verb itself. These are the same suffixes as are used to code subjects in intransitive preterite clauses.

9. wārd-en=mān. eat.PST-OBJ.3PL=SBJ.1PL 'We ate them.'

Coding a pronominal object's person and number in the preterite

9. wārd-en=mān. eat.PST-OBI.3PI=SBI.1PI 'We ate them.'

In (9), the pronominal object suffix and the subject-agreement clitic are adjacent. But in the case of a compound verb, the two are naturally separated: the pronominal object suffix appears on the finite verb, and the subject-agreement clitic in second position:

- 10. fly=SBJ.1SG give.PST-OBJ.3PL 'I flew them.'
- parvāz=**em** dā-**n**. 11. mearefi**=tān** kerd-**imen**. introduction=SBI.2PL do.PST-OBI.1PL 'You (pl) introduced us.'

Three patterns of person/number marking in Laki

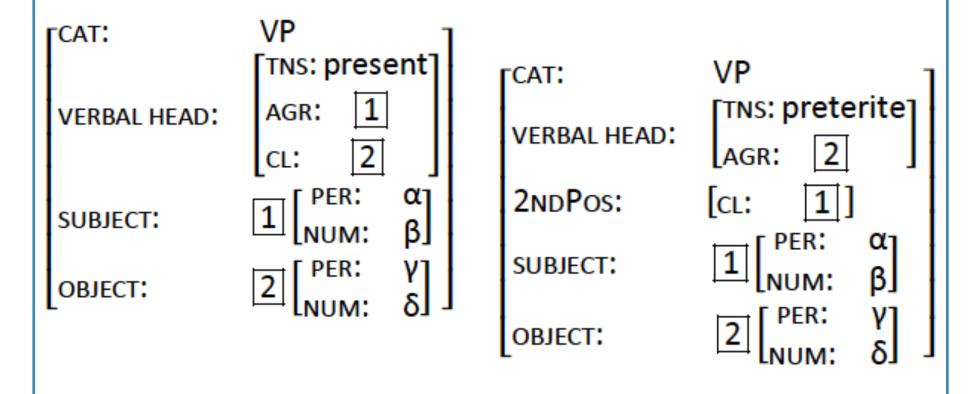
In summary, Laki verbs may be said to have three ways of coding subjects and pronominal objects:

	Subject	Pronominal object
Present	PN suffix (Table A)	PN clitic (Table B)
Preterite intransitive	PN suffix (Table C)	_
Preterite transitive	PN clitic (Table D)	PN suffix (Table C)

Similar patterns are observed in other varieties of Kurdish. Particular attention has been devoted to the Sorani Kurdish pattern in a number of places, e.g. Samvelian (2007), Bonami & Samvelian (2008), Karimi (2009, 2011), Walther (2011), Bonami & Crysmann (2013), Karimi (2013), and Bonami & Stump (2017).

The pattern of PN marking in a transitive clause depends on tense

The distinct patterns of PN marking in transitive clauses in the present and preterite tenses may be schematized as follows:



The PN properties of pronominal possessors

When a NP has a pronominal possessor, the PN properties of the possessor are ordinarily expressed by means of a phrase-final PN clitic, as in (12).

12. ketew kalen riyāziya=m

book big mathematic=POSS.1SG

'my big mathematics book'

When a phrase such as (12) appears as a VP-initial object in a preterite sentence, it might be expected to host a second PN clitic expressing subject agreement. But this expectation cannot be fulfilled, because Laki grammar disallows adjacent PN clitics (*PNcl-PNcl).

The PN properties of pronominal possessors

That is, Laki morphology presents a dilemma. In the preterite, a transitive verb's subject is coded by a PN clitic hosted by the first argument constituent of the VP. If this first constituent is a NP with a pronominal possessor, this possessor cannot be expressed in the usual way (= as a clitic) in view of the ban on successive PN clitics.

Laki resolves this dilemma in a striking way.

Ordinarily,

- subject agreement is expressed by a PN clitic hosted by the NP;
- the PN properties of the NP's possessor are expressed on the verb by a PN suffix from Table C.

```
13. ketew-a=m x<sup>w</sup>an-i-n.
book-DEF=SBJ.1SG read-PST-POSS.2SG
'I read (did read) your book.'
```

Thus, there is a dramatic difference in the morphosyntax of sentences (14) and (13):

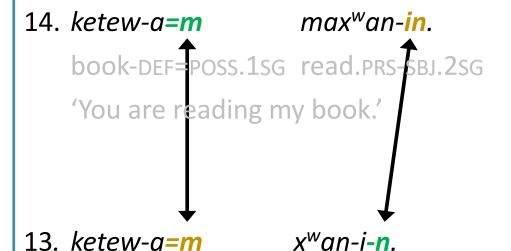
14. ketew-a=m maxwan-in.

book-DEF=POSS.1SG read.PRS-SBJ.2SG

'You are reading my book.'

13. ketew-a=m x^wan-i-n.
book-DEF=SBJ.1SG read-PST-POSS.2SG
'I read (did read) your book.'

Thus, there is a dramatic difference in the morphosyntax of sentences (14) and (13):



book-DEF=SBJ.1SG read-PST-POSS.2SG 'I read (did read) your book.'

 $x^{w}an-i-n$

Thus, there is a dramatic difference in the morphosyntax of sentences

(14) and (13):

14. ketew-a=m max^wan-in.
book-DEF=POSS.1SG read.PRS-SBJ.2SG

'You are reading my book.'

TNS: present [TNS: present]

VERBAL HEAD: AGR: 1SUBJECT: $1 \begin{bmatrix} PER: & \gamma \\ NUM: & \delta \end{bmatrix}$ [CAT: NP

POSSESSOR: $2 \begin{bmatrix} PER: & \alpha \\ NUM: & \beta \end{bmatrix}$ CL: $2 \begin{bmatrix} PER: & \alpha \\ NUM: & \beta \end{bmatrix}$

13. ketew-a=m $x^wan-i-n$.

book-DEF=SBJ.1SG read-PST-POSS.2SG

'I read (did read) your book.'

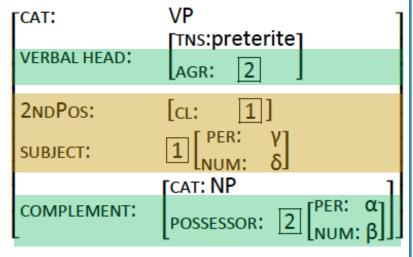
Thus, there is a dramatic difference in the morphosyntax of sentences

(14) and (13):

14. ketew-a=m max^wan-in.
book-DEF=POSS.1SG read.PRS-SBJ.2SG
'You are reading my book.'

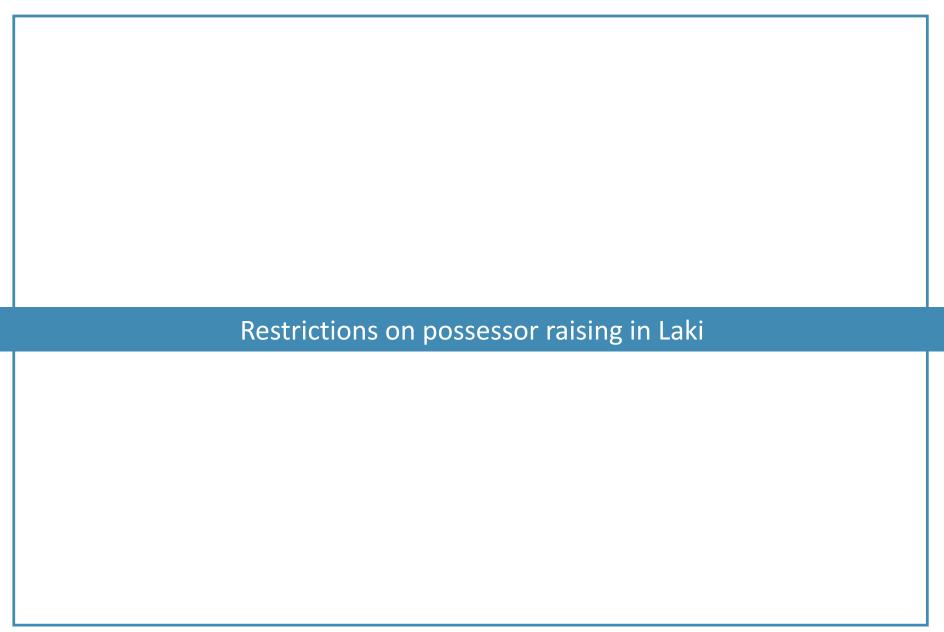
13. ketew-a=m x^wan-i-n.
book-DEF=SBJ.1SG read-PST-POSS.2SG
'I read (did read) your book.'

CAT:	VP ·
	[TNS:present]
VERBAL HEAD:	AGR: 1
SUBJECT:	$1 \begin{bmatrix} PER: & \gamma \\ NUM: & \delta \end{bmatrix}$
İ	[CAT: NP
COMPLEMENT:	POSSESSOR: $\boxed{2}$ $\begin{bmatrix} PER: \alpha \\ NUM: \beta \end{bmatrix}$
	cı: 2



Possessor raising

As these examples show, a preterite verb whose direct object has a pronominal possessor inflects exactly like a preterite verb with a pronominal direct object. In other words, Laki exhibits a kind of possessor raising, by which the PN properties of a direct object's possessor come to serve as those of the direct object itself.



Restrictions on possessor raising in Laki

If the possessed NP is modified by a relative clause,

- subject agreement is expressed by a PN clitic appearing at the end of the relative clause;
- the PN properties of the NP's possessor are expressed on the NP's head by a PN clitic.
- 15. ketew-a=t ke pāraka=m sani book-DEF=POSS.2SG that last.year=SBJ.1SG buy.PST 'your book which I bought last year'

Here, the relative clause allows the two PN clitics to avoid violating the *PNcl-PNcl constraint.

Restrictions on possessor raising in Laki

Possessor raising is not in general observable in present-tense sentences.

16. har ruž rafix-a=**tān** a mown-em.

every day friend-DEF=POSS.2PL OBJ see.PRS-SBJ.1SG
'Every day I see your (pl) friend.'

The PN properties of pronominal prepositional objects

When a PP has a pronominal object, the PN properties of this object are ordinarily expressed by means of a phrase-final PN clitic, as in (17).

When a PP such (17) appears as a VP-initial argument in a preterite sentence, the possibility that it will host a second PN clitic expressing subject agreement is again excluded by the *PNcl-PNcl constraint.

Laki avoids this outcome in a manner analogous to the possessorraising solution.

Ordinarily,

- subject agreement is expressed by a PN clitic hosted by the preposition;
- the PN properties of the preposition's object are expressed on the verb by a PN suffix from Table C.

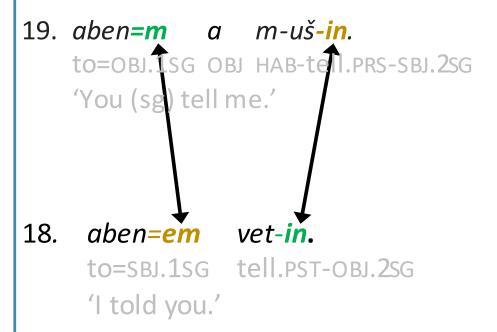
```
18. aben=em vet-in.
to=SBJ.1SG tell.PST-OBJ.2SG
'I told you.'
```

Thus, there is a dramatic difference in the morphosyntax of sentences (19) and (18):

```
19. aben=m a m-uš-in.
to=OBJ.1SG OBJ HAB-tell.PRS-SBJ.2SG
'You (sg) tell me.'
```

```
18. aben=em vet-in.
to=SBJ.1SG tell.PST-OBJ.2SG
'I told you.'
```

Thus, there is a dramatic difference in the morphosyntax of sentences (19) and (18):



Thus, there is a dramatic difference in the morphosyntax of sentences

(19) and (18):

19. aben=m a m-uš-in. to=OBJ.1SG OBJ HAB-tell.PRS-SBJ.2SG 'You (sg) tell me.' VERBAL HEAD:

VERBAL HEAD:

AGR: 1

SUBJECT: 1 PER: γ

NUM: δ

CAT: PP

COMPLEMENT: 2 PER: α

NUM: β

CL: 2

18. aben=em vet-in.
to=SBJ.1SG tell.PST-OBJ.2SG
'I told you.'

Thus, there is a dramatic difference in the morphosyntax of sentences

(19) and (18):

19. aben=m a m-uš-in. to=OBJ.1SG OBJ HAB-tell.PRS-SBJ.2SG 'You (sg) tell me.'

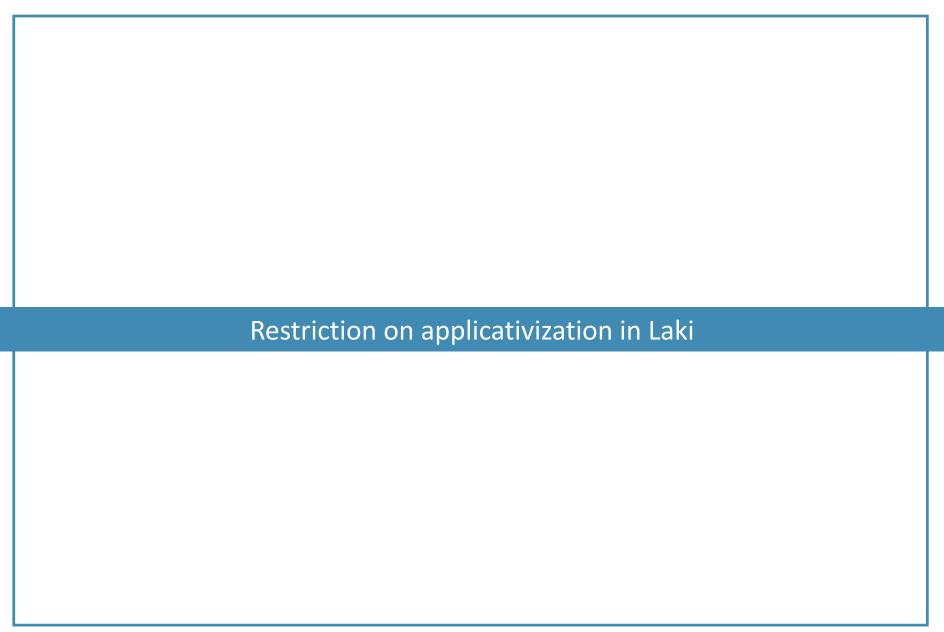
18. aben=em vet-in.
to=SBJ.1SG tell.PST-OBJ.2SG
'I told you.'

ı	CAT:	VP	-
		[TNS:present]	
	VERBAL HEAD:	AGR: 1	
	SUBJECT:	$\boxed{1} \begin{bmatrix} PER: & \gamma \\ NUM: & \delta \end{bmatrix}$	
		CAT: PP	1
	COMPLEMENT:	COMPLEMENT: [2] [PER: α]	
		cl: 2	J.

CAT: VERBAL HEAD:	VP TNS:preterite
VENDAL HEAD.	AGR: 2
2NDPOS:	[CL: 1]
SUBJECT:	$\boxed{1}\begin{bmatrix} PER: & \gamma \\ NUM: & \delta \end{bmatrix}$
•	[CAT: PP]
COMPLEMENT:	COMPLEMENT: $2 \begin{bmatrix} PER: \alpha \\ NUM: \beta \end{bmatrix}$

Applicativization

As these examples show, a preterite verb whose VP-initial PP complement has a pronominal object inflects like a preterite verb with a pronominal direct object. In other words, Laki exhibits a kind of applicativization, by which the PN properties of the pronominal object of a verb's PP complement affect the verb's inflection in just the same way as those of a pronominal direct object.



Restriction on applicativization in Laki

Applicativization only affects VP-initial prepositional phrases:

```
20. aben=em vet-in. 21. vet=m aben=et. to=1sg.sbj tell.pst-2sg.obj tell.pst=1sg.sbj to=2sg.obj 'I told you.'
```

What is striking in all of this is that the observed patterns of possessor raising and applicativization are restricted to the preterite tenses. These are the very tenses in which a subjectagreement clitic risks violating the *PNcl-PNcl ban.

On one hand, a subject-agreement clitic in VP-second position risks following a PN clitic expressing a direct object's pronominal possessor.

On the other hand, it also risks following a PN clitic expressing the pronominal object of an argument PP.

The incidence of possessor raising and applicativization in such cases heads off the possibility of any violation. It is as though in Laki, possessor raising and applicativization are a remedial presence motivated specifically by the *PNcl-PNcl ban.

"Relation-changing operations" have, of course, been routinely attributed to the need to avoid violations of universal or language-specific constraints. But it is unusual for the incidence of such operations (however these are to be formalized) to correlate with the choice of tense.

In Laki, this correlation seems to be purely indirect. The contrasting patterns of PN marking in the present and preterite tenses are the vestige of a stage of split ergativity in the prehistory of Laki; many Iranian languages either retain split ergativity (as in Pashto) or have vestiges of it (as in Sorani Kurdish), though some have abandoned even these vestiges.

If Laki (like Persian) had simply abandoned the contrasting patterns of PN marking observed in the present and preterite tenses, it would thereby have avoided possible violations of *PNcl-PNcl. Having preserved these contrasting patterns, it has instead apparently employed the innovations of possessor raising and applicativization to avoid such violations.

As a consequence, the exponents of person and number in the inflection of Laki verbs exhibit a high degree of polyfunctionality. Consider, for example, the sentences in (22)-(25), in which the verbs' 1sg PN suffix codes four underlying grammatical relations.

- (22) -em codes subject:

 Zia o Ali mown-em.

 Zia and Ali see.PRS-SBJ.1SG

 'I see Zia and Ali.'
- (23) -em codes direct object: di-m=nān see.PST-OBJ.1SG=SBJ.2PL 'You (pl.) saw me.'

- (24) -em codes indirect object:

 Zia o Ali aben=ān vet-em.

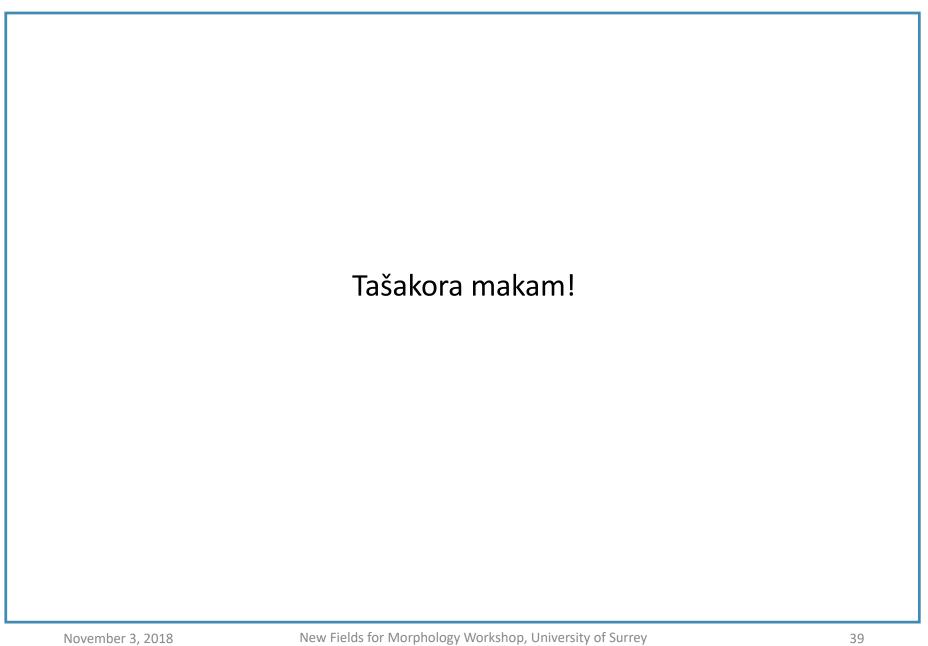
 Zia and Ali to=SBJ.3PL tell-PREP.OBJ.1SG

 'Zia and Ali told me.'
- (25) -em codes possessor:

 Zia o Ali dečarxa-?a=n di-m.

 Zia and Ali bicycle-DEF=SBJ.3PL see.PST-POSS.1SG
 Zia and Ali saw my bicycle.'

We look to future research for insights into the choice of an apparent complication (innovative possessor raising and applicativization) over an apparent simplification (innovative leveling of the patterns of PN marking across the present and preterite tenses).



References

- Berman, R.A, 1982. Dative marking of the affectee role: Data from Modern Hebrew.
- **Bonami, O. and B. Crysmann. 2013**. Morphotactics in an information-based model of realisational morphology. In S. Müller (ed.), Proceedings of the 20th International Conference on Head-Driven Phrase Structure Grammar, Freie Universitat Berlin, 27–47. CSLI Publications.
- **Bonami, O. & Pollet Samvelian. 2008**. Sorani kurdish person markers and the typology of agreement. 13th International Morphology Meeting Vienna.
- **Bonami, O. & Gregory Stump. 2017**. Paradigm function morphology. In A. Hippisley & G. Stump (eds.), The Handbook of Morphology, 449–481. Cambridge University Press
- **Davies, W. D & Stanley Dubinsky, 2004**. *The Grammar of Raising and Control: A Course in Syntactic Argumentation*. Malden, MA: Blackwell.
- Hornstein, N, 1999. Movement and control. Linguistic Inquiry 30.1, 69–96.
- Karimi, Y. 2009. Ergative Construction: Origin and its nature. Ph. D. thesis, Allameh-Tabatabaee University, Tehran, Iran.
- Karimi, Y. 2011. Checking absolutive case in the ergative structure. Journal of Language researches 95-113 (2).
- Keach, C.N.& Michael Rochemont, 1992. "On the syntax of possessor raising in Swahili." Studies in African Linguistics 23.1: 81.
- Kliffer, M.D, 1973. The Spanish Dative (Doctoral dissertation, PH. D. Dessertacion. University of Cornell).
- Landau, I, 1999. Possessor raising and the structure of VP. Lingua, 107(1-2), 1-37.
- Leclère, C, 1976. Datifs syntaxiques et datif éthique. Méthodes en grammaire française, 7396.
- **Lee-Schoenfeld, V, 2006**. German possessor datives: Raised and affected. *Journal of Comperative Germanic Linguistics* 9, 2, 101–142.
- **Lødrup, H, 2009**. Looking possessor raising in the mouth: Norwegian possessor raising with unergatives. In *Proceedings of the LFG09 Conference, Miriam Butt and Tracy Holloway King (Editors), CSLI Publications, http://csli-publications. stanford. edu* (420-440).
- **O'Connor, Mary C**, 1996. The situated interpretation of possessor-raising. In Masayoshi Shibatani and Sandra A. Thompson (eds.) *Grammatical Constructions: Their Form and Meaning*. Oxford: Clarendon Press. 125-156.
- **Shibatani, M, 1994**, October. An integrational approach to possessor raising, ethical datives, and adversative passives. In *Annual Meeting of the Berkeley Linguistics Society* (Vol. 20, No. 1, 461-486).
- Walther, G. 2011. Fitting into morphological structure: Accounting for sorani kurdish endoclitics. In S. Müller (Ed.), Proceedings of the Eighth Mediterranean Morphology Meeting (MMM8), Cagliari, Italy, 299–322.